

UndNet - A Mutual Understanding Maximization Framework

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「Motivation」

- ▷ Popularity and importance regarding conversational AIs rises in all lines of business, yet how to generate the most appropriate response stays challenging.
- ▷ Traditional generative models frame dialog generation as machine translation problem [1], neglecting that similar sentences could not ensure identical understanding in different perspectives.
- ▷ **UndNet** is proposed and implemented with the aim of maximizing the mutual understandings of the conversation participants.

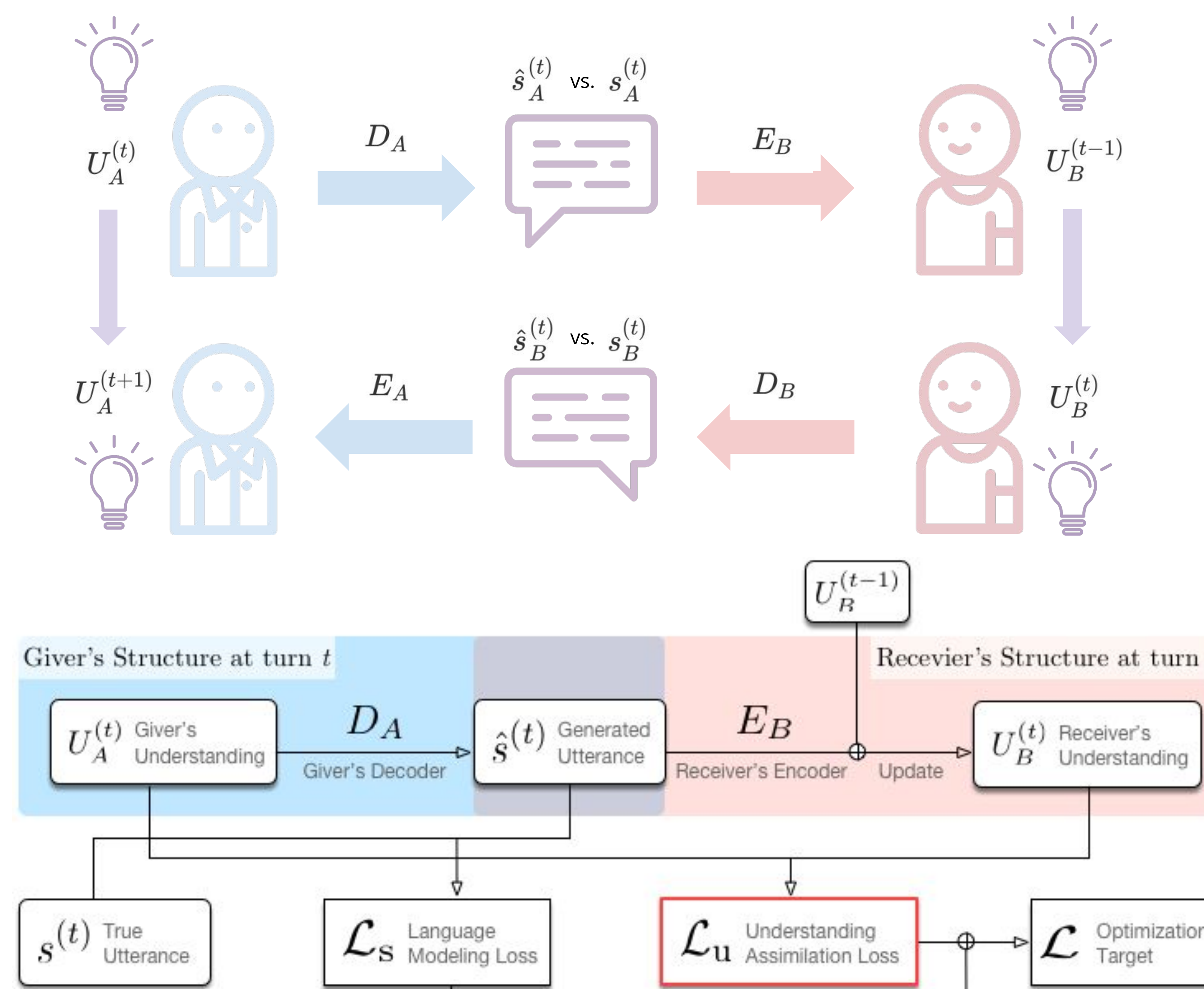
「Specifications」

- ▷ **ConvAI2 Dataset**: consists of 164,356 utterances in over 10,981 dialogs
- ▷ The encode and decoder of the model is implemented using PyTorch and ParlAI Framework with 2-layer GRUs and a hidden size of 128

「Discussion」

- ▷ **ConvAI2 Dataset: Short Conversations**
 - Each episode of the conversation is quite short, therefore, it is hard for the model to learn the pattern of the understanding fast enough before the conversation ends
- ▷ **Representation of the Understanding**
 - The current model implementation uses hidden size for initializing the understanding, yet sizes can be variable and larger size may allow storing richer information
- ▷ **Sampling for the Understanding Update**
 - Current update is the average of previous understanding and the hidden state output. But what is a better way to refresh people's mind?
- ▷ **Pitfall of minimizing understandings' discrepancy**
 - The model may be fooled by the optimization target to generate similar decoders' output, leading to great instability for gradient descent

「Model」



△ Architecture of **UndNet**

The **UndNet** framework views conversation as a turn-based activity. At turn t :

- ▷ We compute the Earth Mover's Distance [2] as **Understanding Similarity Loss** to measure the discrepancy between participants' understanding

$$\mathcal{L}_u = \mathcal{D}_{\text{EMD}}(U_A^{(t)}, U_B^{(t)})$$

- ▷ **Language Modeling Loss** adopts Cross Entropy Loss to assess response accuracy

$$\mathcal{L}_S = D_{\text{CE}}(\hat{s}_A^{(t)}, s_A^{(t)}) + D_{\text{CE}}(\hat{s}_B^{(t)}, s_B^{(t)})$$

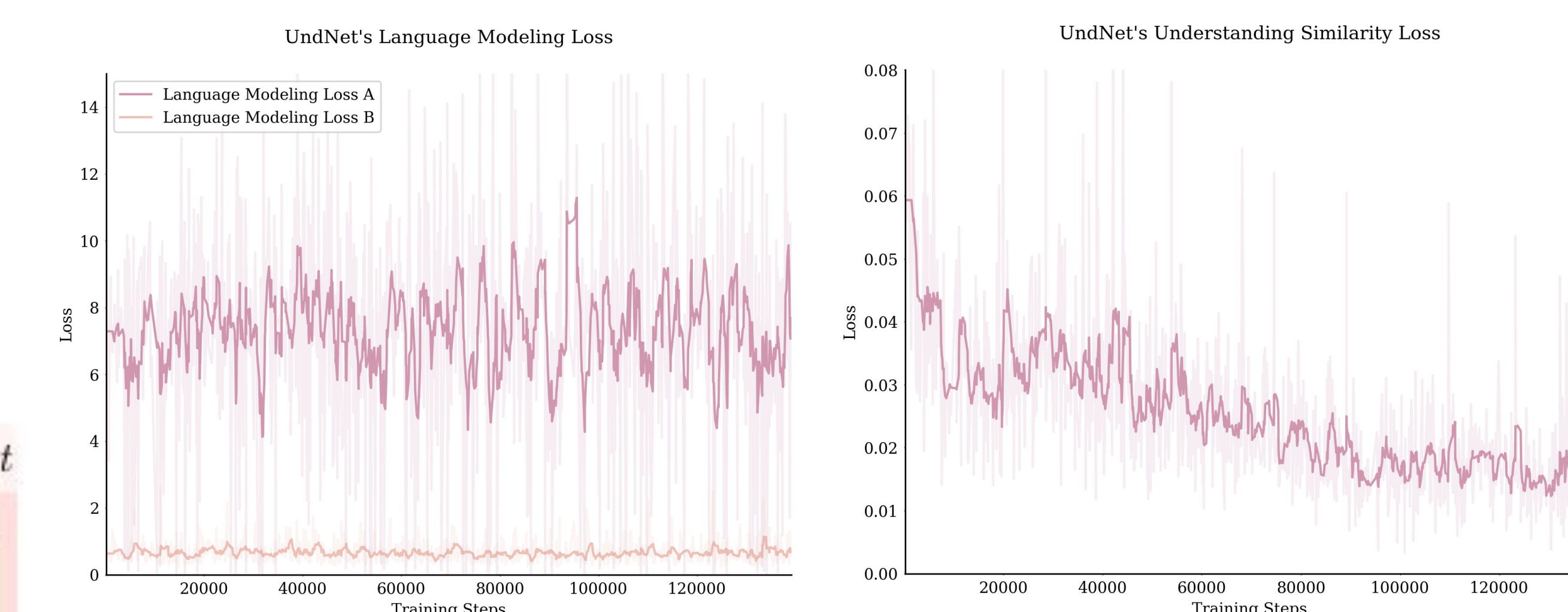
- ▷ **Optimization target** is thus the combination of all losses

$$\mathcal{L} = \mathcal{L}_S + \lambda_u \mathcal{L}_u$$

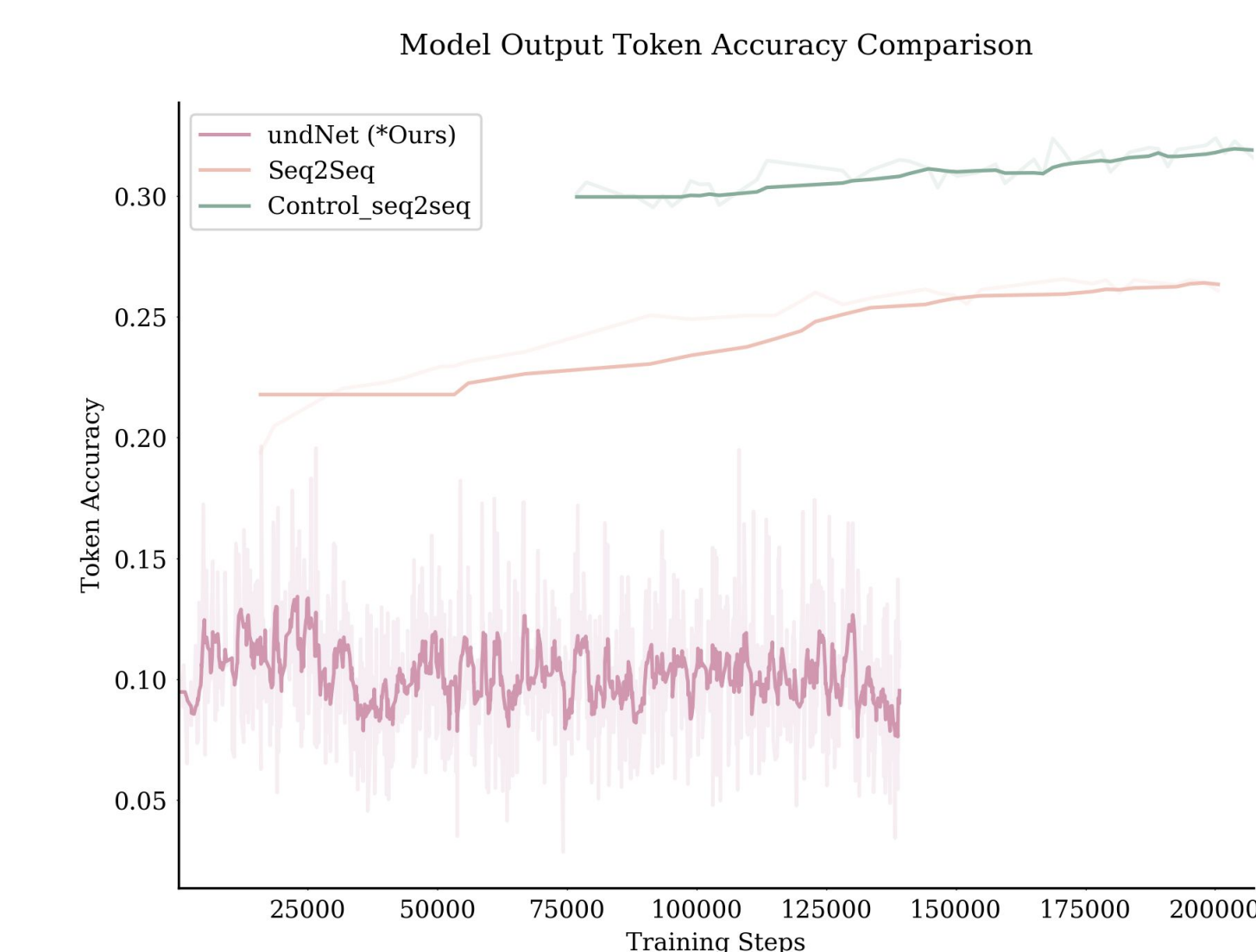
「Experiments & Results」

The **UndNet** shown in the experiments below is

- ▷ initialized with Seq2Seq ConvAI2 model weights
- ▷ the understanding units are initialized using persona description in each dialog
- ▷ Encoders and decoders of A and B are trained simultaneously in each train step



- △ The continuous decreasing of understanding loss may show excessive training in forcing producing the same understanding between A and B, therefore leading to the fluctuation of the language modeling loss



- △ The performance of **UndNet** is compared against baseline seq2seq model used in ConvAI2 competition and Controllable Dialogue Model [3].
- ▷ The frequent fluctuation of **UndNet's** token accuracy results from the understanding units' re-initialization
- ▷ The model underperformance may due to the dominating understanding similarity loss and how to take advantage of its power is our next step

「References」

- [1] Tom Young, Devamanyu Hazarika, Soujanya Poria, and Erik Cambria. Recent trends in deep learning based natural language processing. IEEE Computational intelligence magazine, 13(3):55-75, 2018.
- [2] Liqun Chen, Shuyang Dai, Chenyang Tao, Haichao Zhang, Zhe Gan, Dinghan Shen, Yizhe Zhang, Guoyin Wang, Ruiyi Zhang, and Lawrence Carin. Adversarial text generation via feature-mover's distance. In Advances in Neural Information Processing Systems, pages 4666-4677, 2018.
- [3] Abigail See, Stephen Roller, Douwe Kiela, Jason Weston. What makes a good conversation? How controllable attributes affect human judgments. NAACL 2019.